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U.S. Securities Regulation and Regulatory Agencies

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Discusses the need to integrate the emerging markets in a global financial system, as means of regulation.

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Jickling, Mark. "The Enron Collapse: An Overview of Financial Issues" CRS Report. February 04, 2002. 5p. <http://fpc.state.gov/documents/organization/8038.pdf> (October 21, 2004)

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" Sarbanes-Oxley Act of 2002 -Frequently Asked Questions" United States Securities and Exchange Commission. November 14, 2002.

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"Sarbanes-Oxley Corporate Governance Compliance Guide."

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This extensive document provides a detailed overview of the key issues which management should be considering when seeking to achieve compliance with the stringent Sarbanes-Oxley requirements.

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Detailed summary of the Sarbanes-Oxley Act. Covers all the important sections, and includes a set of definitions. Provides substantial assistance in understanding the main features and requirements.

United States. House of Representatives. "Sarbanes-Oxley Act of 2002" 66p.

<<http://news.findlaw.com/hdocs/docs/gwbush/sarbanesoxley072302.pdf>> (October 21, 2004)

This legislation protects investors by improving the accuracy and reliability of corporate disclosures made pursuant to the securities laws.

Web sites of Interest:

National Association of Securities Dealers
<http://www.nasd.com/>

North American Securities Administrators Association
<http://www.nasaa.org/>

The Securities Law Homepage
<http://www.seclaw.com/Welcome.shtml>

Securities Law: an Overview. Legal Information Institute. Cornell Law School.
<http://www.law.cornell.edu/topics/securities.htm>

U.S. Securities and Exchange Commission
<http://www.sec.gov>

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